



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/942,929	08/31/2001	Renee E. Dye	026592.0113.US00

Covington & Burling  
Patent Docketing  
1201 Pennsylvania Avenue, NW  
Washington, DC 20004-2401



CONFIRMATION NO. 8947

## FORMALITIES LETTER



\*OC000000006834956\*

Date Mailed: 10/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1200.
  - \$720 for 40 total claims over 20.
  - \$480 for 6 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2040.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

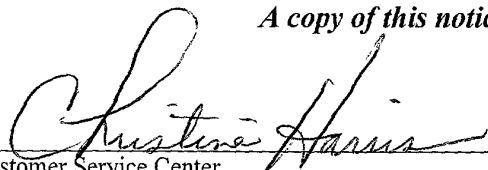
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

12/03/2001 NBERIE 00000042 09942929  
01 FD=101 746.00 DP  
02 FD=105 130.00 DP  
03 FD=103 720.00 DP  
04 FD=102 504.00 DP

09/942,929 62621660

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

703-308-1202



Docket No.: 026592.113-US00  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Renee E. Dye, et al.

Application No.: 09/942,929-8947

Group Art Unit: 2173

Filed: August 31, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR DEVELOPING  
STRATEGIC OPTIONS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**Box Missing Parts**  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted  
mailed October 3, 2001, Applicant respectfully submits the following documents:

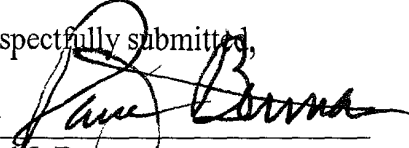
1. Fee Transmittal;
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. Declaration for Utility Patent Application;
4. Power of Attorney from Assignee;
5. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
6. Request to Approve Proposed Drawing Corrections;
7. Marked-up copy of Fig. 10 with proposed changes;

8. Submission of Formal Drawings;
9. 13 sheets of Formal Drawings (Figs. 1-3, 4A-4D and 5-10);
10. Check No. 288443 for \$2,094.00 to cover:
  - \$740.00 basic filing fee;
  - \$1,224.00 additional claims fee;
  - \$130 surcharge for late filing of the Declaration; and
11. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026592.113-US00. A duplicate copy of this paper is enclosed.

Dated: November 30, 2001

Respectfully submitted,

By   
Paul J. Berman

Registration No.: 36,744  
COVINGTON & BURLING  
1201 Pennsylvania Avenue, N.W.  
Washington, DC 20004-2401  
(202) 662-6000

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Approved for use through 10/31/2002 OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (10-01)

FEE TRANSMITTAL for FY 2002		Complete if Known	
Patent fees are subject to annual revision.		Application Number	09/942,929-8947
		Filing Date	August 31, 2001
		First Named Inventor	Renee E. Dye
		Examiner Name	Not Yet Assigned
		Group Art Unit	2173
TOTAL AMOUNT OF PAYMENT (\$)		2,094.00	Attorney Docket No. 026592.113-US00
METHOD OF PAYMENT		FEE CALCULATION (continued)	
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to. Deposit Account Number 50-0740 Deposit Account Name <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. ADDITIONAL FEES	
2. <input checked="" type="checkbox"/> Payment Enclosed <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Fee Code Fee (\$)	
FEE CALCULATION		Small Entity Fee Code Fee (\$)	
1. BASIC FILING FEE		Fee Description Fee Paid	
Large Entity Fee Code Fee (\$)		Fee Description Fee Paid	
Small Entity Fee Code Fee (\$)		Fee Description Fee Paid	
Fee Description Fee Paid		Fee Description Fee Paid	
101 740 201 370 Utility filing fee 740 00		105 130 205 65 Surcharge - late filing fee or oath 130 00	
106 330 206 165 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
107 510 207 255 Plant filing fee		139 130 139 130 Non-English specification	
108 740 208 370 Reissue filing fee		147 2,520 147 2,520 For filing a request for ex parte reexamination	
114 160 214 80 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
SUBTOTAL (1) (\$) 740 00		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month	
Total Claims 60 -20** = 40 X 18.00 = 720 00		116 400 216 200 Extension for reply within second month	
Independent Claims 9 -3** = 6 X 84 00 = 504.00		117 920 217 460 Extension for reply within third month	
Multiple Dependent		118 1,440 218 720 Extension for reply within fourth month	
Large Entity Fee Code Fee (\$)		128 1,960 228 980 Extension for reply within fifth month	
Small Entity Fee Code Fee (\$)		119 320 219 160 Notice of Appeal	
Fee Description Fee Paid		120 320 220 160 Filing a brief in support of an appeal	
103 18 203 9 Claims in excess of 20		121 280 221 140 Request for oral hearing	
102 84 202 42 Independent claims in excess of 3		138 1,510 138 1,510 Petition to institute a public use proceeding	
104 280 204 140 Multiple dependent claim, if not paid		140 110 240 55 Petition to revive - unavoidable	
109 84 209 42 ** Reissue independent claims over original patent		141 1,280 241 640 Petition to revive - unintentional	
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		142 1,280 242 640 Utility issue fee (or reissue)	
SUBTOTAL (2) (\$) 1,224 00		143 460 243 230 Design issue fee	
**or number previously paid, if greater; For Reissues, see above		144 620 244 310 Plant issue fee	
		122 130 122 130 Petitions to the Commissioner	
		123 50 123 50 Processing fee under 37 CFR 1.17(q)	
		126 180 126 180 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 740 246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
		149 740 249 370 For each additional invention to be examined (37CFR 1.129(b))	
		179 740 279 370 Request for Continued Examination (RCE)	
		169 900 169 900 Request for expedited examination of a design application	
		Other fee (specify)	
		*Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 130 00	
SUBMITTED BY		Complete (if applicable)	
Name (print/type) Paul J. Berman		Registration No (Attorney/Agent) 36,744	
Signature		Telephone (202) 662-6000	
		Date November 30, 2001	